January 9, 2013
International Workshop on
"International Cooperation on Natural Resources and Conflicts"
Department of International Studies, University of Tokyo

Effects of a Participatory Forest Management Project by JICA in Ethiopia on Deforestation

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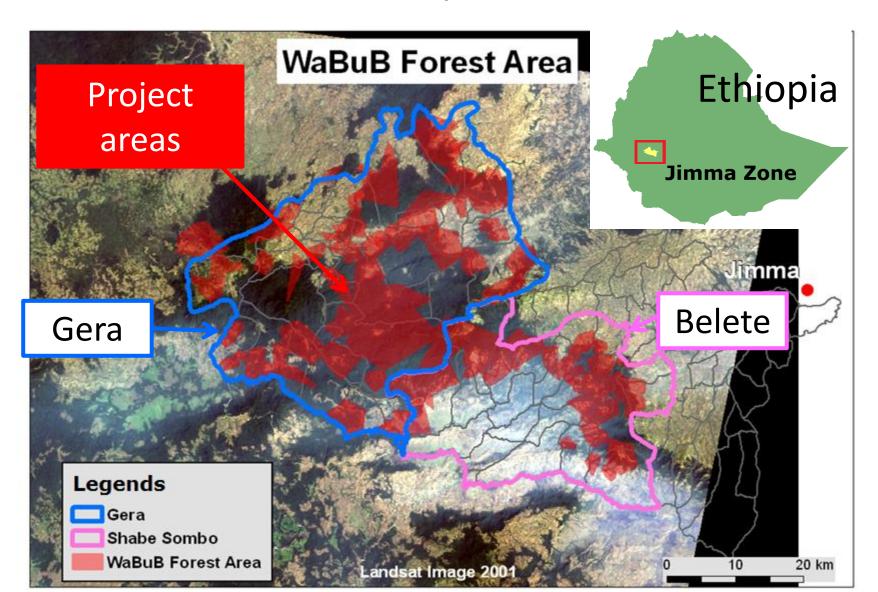
(Based on joint works with Ryo Takahashi)



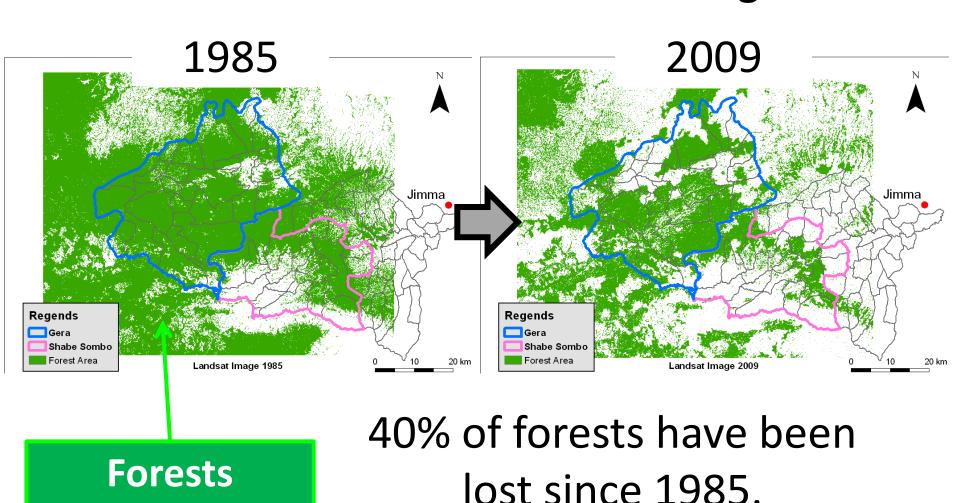
Reasons

- Expansion of farmland
- Logging

Project Area: Belete-Gera Regional Forest Priority Area

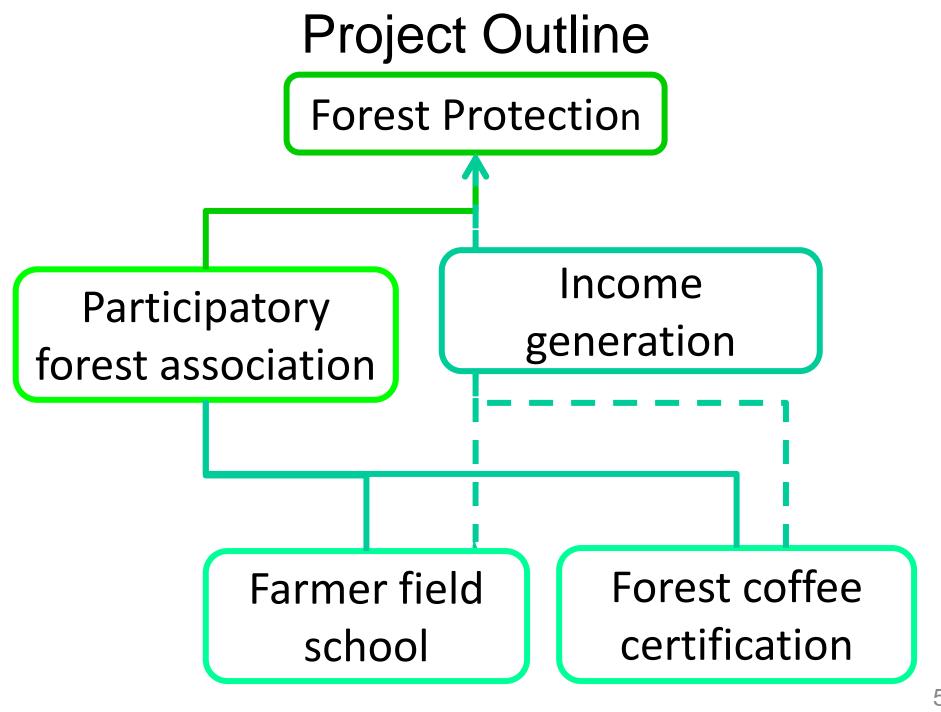


Deforestation in Project Areas: Constructed from Remote Sensing Data



(green areas)

4



Participatory Forest Management

Associations

- Established at the sub-village level
- Identify border of forest
- Prohibit logging in forests
- Monitor illegal logging
- Plant trees in boundary areas and wastelands



	No.		No. of WaBuB established		
	Village	Sub- village	2006- 2008	2008- 2009	2009- 2010
Total	43	126	35	58	32

Forest Coffee Certification

- Coffee naturally grows in some forests.
- Certified by Rainforest Alliance (US-based NGO)
 - → ↑ price by 15-20%
- Farmers have incentive to protect forests.







No. of certified	2007-2008	2008-2009	2009-2010
WaBuBs	4	21	48
Farmers	550	1,700	2,808

/

Farmer Field School

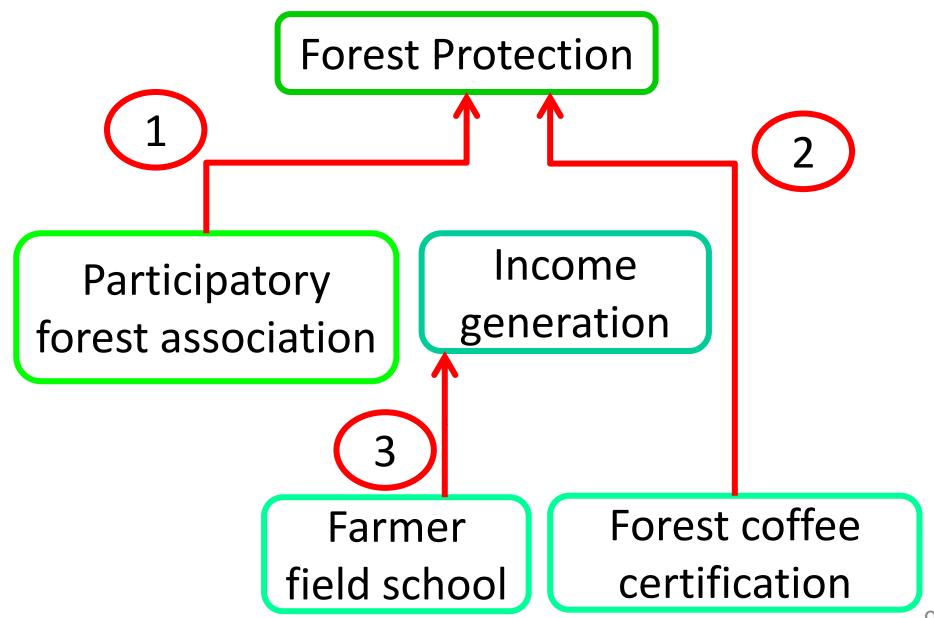
- Established together with establishment of WaBuB
- 1 meeting a week for 1 year
- Technology transfer through lectures & experiments



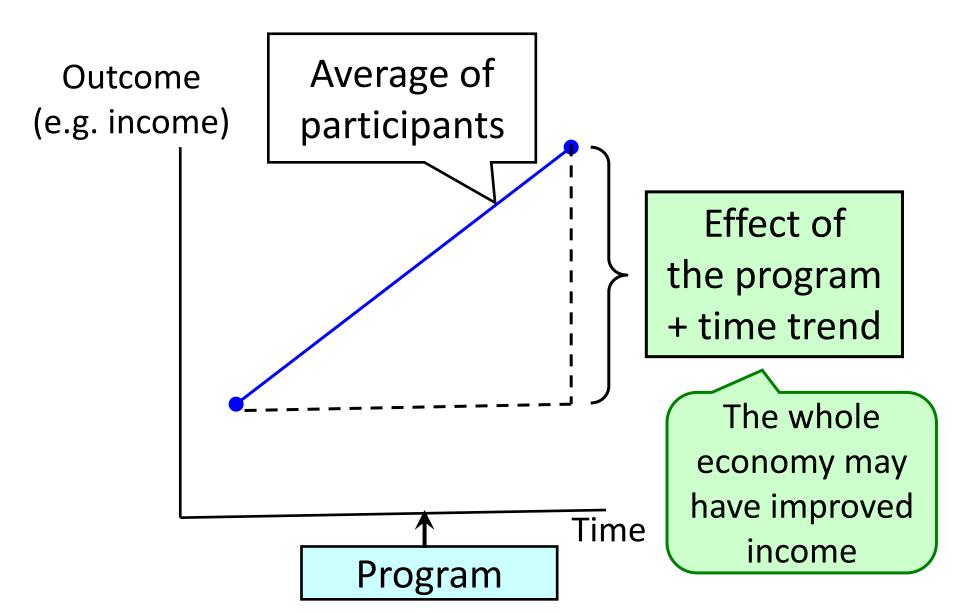


	2007-2008	2008- 2009	2009- 2010
No. of schools	53	134	82
No. of graduates	1328	3235	NA

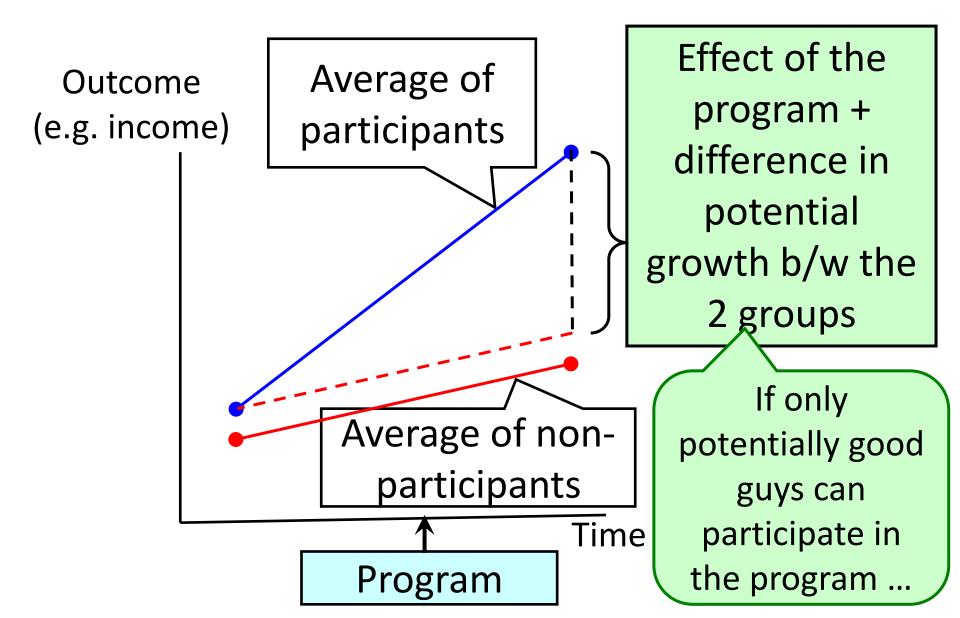
3 Sets of Impact Evaluation



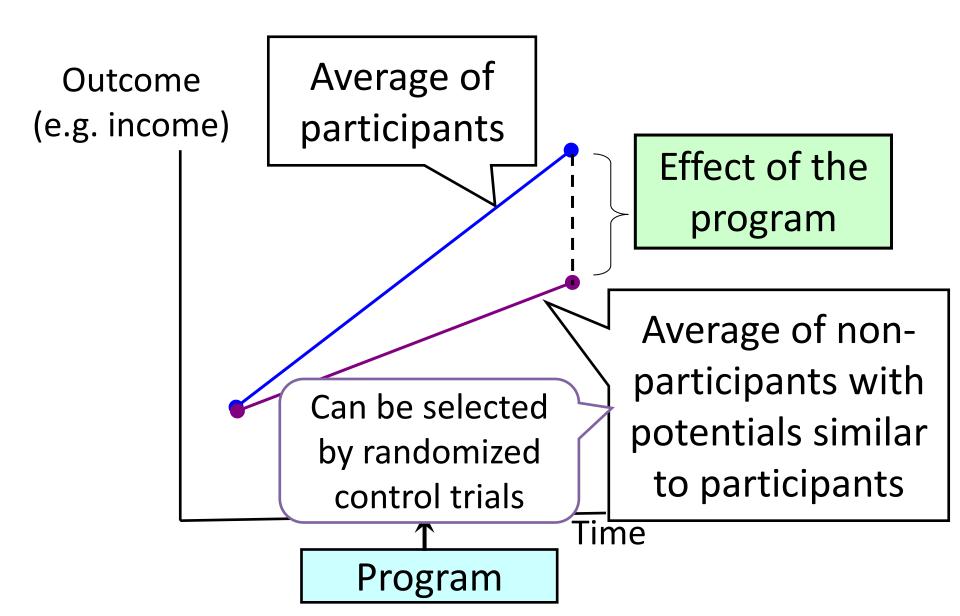
Why Is Program Evaluation Difficult?



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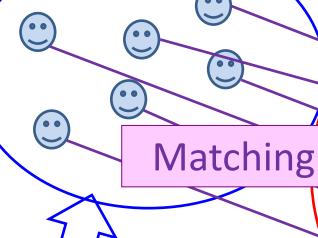
Propensity Score Matching (PSM)

Systematic difference due



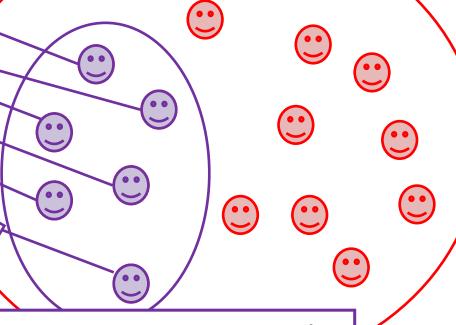


Non-participants



Difference in outcomes b/w 2 groups = effect of project

Non-participants with similar pre-program characteristics



2 Data Sources

- Data from Satellite (Landsat 7) during 1985-2010
 - Identify forests using Normalized Difference
 Vegetation Index (NDVI) and ground truthing
 - Examine effects of forest associations and forest coffee certifications on forest protection
- Household-level data from 298 HHs in 2008 (pre-program) & 2010 (post)
 - Collected by JICA
 - Examine effects of farmer field schools on income

Methods of Impact Evaluation Forest Forest coffee Farmer field

forest

associations

Grid (900m²)

PSM

Geographic

and ecological

variables

Probability of

deforestation

who later

participate

Household

PSM

Pre-program

HH

characteristics

Income per

worker/year

Treatment	association	certification	school
	Non-	Non-coffee	Non-
Caratrial	participants	areas within	participants

who later

participate

Sub-village

2SLS

Geographic

and ecological

variables

Change rate of

forest areas

Control

Data unit

Estimation

IV/

covariates

for matching

Outcome

Main Results Forest Forest coffee Farmer field

Yr of establishment:

-12.2%pt,

Next yr: +17.4%pt

→ +5.2%pt in 2 yrs

Average Δ rate w/o

association: -3.3%

Takahashi et al. (2012a)

Effect

Note

Reference

Ireatment	association	certification	school
Outcome	Change rate of forest	Probability of	Income per
Outcome	areas def	deforestation	worker/year

-1.7%pt

in 2 yrs

Average

probability

without

coffee: 4.5%

Takahashi et al.

(2012b)

+US\$ 60-

160

Average

income

before

project: \$60

Todo et al. (2012)

Summary of the Results from Ethiopia

Large positive effect on forest protection

- Directly through forest associations and forest coffee certification
- Indirectly through income generation due to farmer field schools

Lesson learnt

Effect of forest associations on forest areas in the year of establishment: - (though + in 2 years)

→ "Last-minute logging"

Impact Evaluation of JICA's Projects: Overview

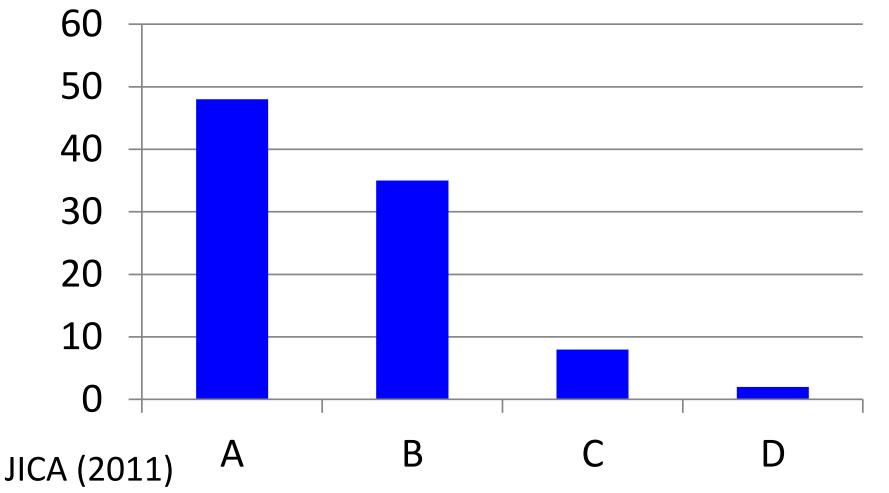
- JICA's project evaluation is almost always based on less rigorous, qualitative methods.
- Only a few rigorous "impact evaluations" were/are officially conducted by JICA
 - Third Elementary Education Project in the Philippines
 - Propensity score matching
 - Yamauchi et al. (2011), Yamauchi et al. (2012)
 - "Support to the Improvement of School Management through Community Participation" in Burkina Faso
 - Randomized control trials
 - Sawada et al. (2012)
 - Some more ongoing ones

Impact Evaluation of JICA's Projects: Overview

- Some "semi-official" impact evaluations were done in and outside JICA (mostly in JICA RI)
 - Irrigation project in Sri Lanka
 - Natural experiments & PSM
 - Sawada et al. (2010)
 - Some were done by Todo
 - Participatory forest management in Ethiopia (funded by JICA RI)
 - Tech assistance in the foundry industry in Indonesia (funded by Research Institute of Economy, Trade and Industry, a public institution related to the Ministry of Economy, Trade and Industry)

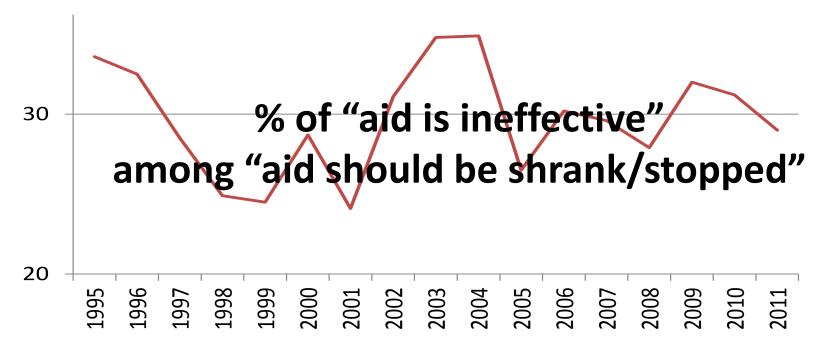
Results from current (less rigorous, qualitative) evaluations look very good.

Results of ex-post evaluation of 93 projects conducted in 2010 (A [very good]-D [poor])



Consequence of Few Impact Evaluations?

Cabinet Office (2011), Opinion Survey on Diplomacy



Ministry of Foreign Affairs (2009), Opinion Survey on ODA

- "What should be done regarding ODA?"
 47%: "Clearer information should be provided."
- "What should be on the web site of MOFA?"
 36%: "Outcomes of ODA"

Toward Better International Cooperation on Natural Resource Management

Implications from our study

Foreign aid projects

natural resource management in LDCs

But, we need to expand impact evaluation

- To convince beneficiaries in LDCs and tax payers in donor countries
- To improve future projects

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